

**City University of Hong Kong  
Course Syllabus**

offered by Department of Public and International Affairs  
with effect from Semester A 2022/23

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**Part I Course Overview**

<b>Course Title:</b>	Policy Studies and Public Management
<b>Course Code:</b>	PIA8620
<b>Course Duration:</b>	one semester
<b>Credit Units:</b>	3
<b>Level:</b>	<b>R8</b>
<b>Medium of Instruction:</b>	<b>English</b>
<b>Medium of Assessment:</b>	<b>English</b>
<b>Prerequisites:</b> <i>(Course Code and Title)</i>	<b>Nil</b>
<b>Precursors:</b> <i>(Course Code and Title)</i>	<b>Nil</b>
<b>Equivalent Courses:</b> <i>(Course Code and Title)</i>	<b>POL8620 Policy Studies and Public Management</b>
<b>Exclusive Courses:</b> <i>(Course Code and Title)</i>	<b>Nil</b>

## Part II Course Details

### 1. Abstract

(A 150-word description about the course)

This course aims to provide doctoral students with a broad and deep understanding of the intellectual history and recent developments of public policy and management as a field of study. It helps students to become productive researchers and teachers of public policy and management by developing their knowledge of the field's scope, underlying principles, theoretical approaches, and scholarly development. To this end, the course content requires students to assess the substance, impact, and historical context of many of the classic works in the field and then to discover new insights into public policy and management research. By developing their intellectual capacity, students are expected to contribute to the development of knowledge about public policy and management by active discovery in their further research.

### 2. Course Intended Learning Outcomes (CILOs)

(CILOs state what the student is expected to be able to do at the end of the course according to a given standard of performance.)

No.	CILOs	Weighting* (if applicable)	Discovery-enriched curriculum related learning outcomes (please tick where appropriate)		
			A1	A2	A3
1.	Demonstrate a command of the historical progression of the core ideas in the field of public policy and management.	10%	X		
2.	Discover and analyse critically emerging knowledge relating to principles, theories and practices in public policy and management.	40%		X	
3.	Apply their knowledge of the course material to enrich their research and theory building, particularly with respect to their PhD dissertations and publications.	40%		X	X
4.	Develop critical thinking and communication skills needed for dissertations and publications.	10%			X
		100%			

\* If weighting is assigned to CILOs, they should add up to 100%.

A1: Attitude

Develop an attitude of discovery/innovation/creativity, as demonstrated by students possessing a strong sense of curiosity, asking questions actively, challenging assumptions or engaging in inquiry together with teachers.

A2: Ability

Develop the ability/skill needed to discover/innovate/create, as demonstrated by students possessing critical thinking skills to assess ideas, acquiring research skills, synthesizing knowledge across disciplines or applying academic knowledge to self-life problems.

A3: Accomplishments

Demonstrate accomplishment of discovery/innovation/creativity through producing /constructing creative works/new artefacts, effective solutions to real-life problems or new processes.

### 3. Teaching and Learning Activities (TLAs)

(TLAs designed to facilitate students' achievement of the CILOs.)

TLA	Brief Description	CILO No.				Hours/week (if applicable)
		1	2	3	4	
Lecturing	Introduction of key materials by instructors	X	X			
Extensive reading	Reading between classes	X	X			
Student presentation	Presentation by students on relevant topics	X	X	X	X	
Participation in class discussion	Student-led discussion		X	X	X	
Term paper	Individual paper on relevant topics		x	x	x	

### 4. Assessment Tasks/Activities (ATs)

(ATs are designed to assess how well the students achieve the CILOs.)

Assessment Tasks/Activities	CILO No.						Weighting*	Remarks
	1	2	3	4				
Continuous Assessment: <u>100</u> %								
Class presentations and participation	x	x	x	x			40	
Term paper	x	x	x	x			60	
Examination: <u>  </u> - <u>  </u> % (duration: <u>                  </u> , if applicable)								
* The weightings should add up to 100%.							100%	

## 5. Assessment Rubrics

(Grading of student achievements is based on student performance in assessment tasks/activities with the following rubrics.)

Applicable to students admitted in Semester A 2022/23 and thereafter

Assessment Task	Criterion	Excellent (A+, A, A-)	Good (B+, B)	Marginal (B-, C+, C)	Failure (F)
1. Class presentations and participation	Demonstration a command of key knowledge in the field and the capacity to review critically the literature and empirical findings as well as to disseminate the ideas clearly and systematically.	Demonstration an excellent command	Demonstration a good command	Demonstration a fair command	Demonstration very little command
2. Term paper (5,000 – 6,000 words)	Ability to apply creative and critical thinking on the key knowledge in the field to enrich theory building of relevant topics in the course; the capacity in disseminating ideas clearly and systematically in written form.	Excellent application and capacity	Good application and capacity	Fair application and capacity	Very Little application and capacity
3.					
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Applicable to students admitted before Semester A 2022/23

Assessment Task	Criterion	Excellent (A+, A, A-)	Good (B+, B, B-)	Fair (C+, C, C-)	Marginal (D)	Failure (F)
1. Class presentations and participation	Demonstration a command of key knowledge in the field and the capacity to review critically the literature and empirical findings as well as to disseminate the ideas clearly and systematically.	Demonstration an excellent command	Demonstration a good command	Demonstration a fair command	Demonstration a marginal command	Demonstration very little command
2. Term paper (5,000 – 6,000 words)	Ability to apply creative and critical thinking on the key knowledge in the field to enrich theory building of relevant topics in the course; the capacity in disseminating ideas clearly and systematically in written form.	Excellent application and capacity	Good application and capacity	Fair application and capacity	Marginal application and capacity	Very Little application and capacity

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### Part III Other Information (more details can be provided separately in the teaching plan)

#### 1. Keyword Syllabus

(An indication of the key topics of the course.)

policy process, administrative state, public administrative orthodoxy, reform, administrative science, case studies, bureaucracy, politics-administration dichotomy, new public administration, reinventing government, new public management, policy implementation, post-modernism, neoliberalism, globalisation, policy innovation, governance, civil society, policy cycle, comparative public policy.

#### 2. Reading List

##### 2.1 Compulsory Readings

(Compulsory readings can include books, book chapters, or journal/magazine articles. There are also collections of e-books, e-journals available from the CityU Library.)

1.	Frederickson, H., Smith, K., Larimer, C., & Licari, M. (2018). <i>The Public Administration Theory Primer</i> . Boulder: Routledge
2.	Guy, M., & Rubin, M. (2015). <i>Public administration evolving: From foundations to the future</i> . New York: Routledge.
3.	Hill, M. J. (2016) <i>The Public Policy Process</i> , 6 <sup>th</sup> edn., Harlow: Pearson Education.
4.	Howlett, M., Ramesh, M. and Perl, A. (2009) <i>Studying Public Policy: Policy Cycles and Policy Subsystems</i> , 3 <sup>rd</sup> edn., Don Mills, Ontario: Oxford University Press
5.	Rose, R. 2005. <i>Learning from Comparative Public Policy: A Guide to Lesson Drawing</i> , London: Routledge
6.	Sabatier, P. A., & Weible, C. M. (Eds.). (2014). <i>Theories of the policy process</i> . Westview Press.
7.	Shafritz, J. M., & Hyde, A. C. (2017). <i>Classics of public administration</i> (8th edition). Boston, MA: Cengage Learning.

##### 2.2 Additional Readings

(Additional references for students to learn to expand their knowledge about the subject.)

1	Adams, B., "Big Questions for Public Administration," <i>Public Administration Review</i> 61 (2001): 140-183.
2	Adams, B., "Enthralled with Modernity," <i>Public Administration Review</i> 52 (1992): 363-373
3	Bardach, E., <i>The Implementation Game</i> . Cambridge, Mass.: MIT Press, 1977.
4	Barnard, C., <i>The Functions of the Executive</i> . Cambridge: Harvard University Press, 1968.
5	Barzelay, M., <i>Breaking Through Bureaucracy</i> . Berkeley: University of California Press, 1992.
6	Dahl, R., "The Science of Public Administration: Three Problems," <i>Public Administration Review</i> 7 (1947):1-11
7	DeLeon, P. and DeLeon, L., "What Ever Happened to Policy Implementation? An Alternative Approach," <i>Journal of Public Administration Research and Theory</i> 12 (2002): 467-492
8	Denhart, R., <i>Theories of Public Organization</i> . Belmont, CA: Thomson/Wadsworth, 2008.
9	Downs, A., <i>Inside Bureaucracy</i> . Boston: Little, Brown, 1967.

10	Farmer, D., <i>The Language of Public Administration: Bureaucracy, Modernity, and Postmodernity</i> . Tuscaloosa : University of Alabama Press, 1995.
11	Finer, H., "Administrative Responsibility in Democratic Government," <i>Public Administration Review</i> 1 (1941): 335-350 and Carl Friedrich, "Public Policy and the Nature of Administrative Responsibility," <i>Public Policy</i> 3-24
12	Frederickson, H. (1997). <i>The spirit of public administration</i> (Jossey-Bass public administration series). San Francisco: Jossey-Bass.
13	Gazell, J., ed., "Special Issue on the National Performance Review and Public Administration," <i>International Journal of Public Administration</i> : 20 (1997):1-247
14	Goggin, M., et al., <i>Implementation Theory and Practice</i> . Glenview, Ill.: Scott, Foresman/Little, Brown Higher Education, 1990.
15	Goodnow, F., <i>Politics and Administration</i> . New Brunswick, N.J.: Transaction Publishers, 2003 (With a new introduction by John A. Rohr)
16	Gore, A., <i>Creating a Government that Works Better &amp; Costs Less</i> . New York: Plume, 1993.
17	Gulick, L. and Urwick, L., eds., <i>Papers on the Science of Administration</i> . London: Routledge, 2003.
18	Jensen, J. and Rodgers, R., "Cumulating the Intellectual Gold of Case Study Research," <i>Public Administration Review</i> 61 (2001): 235-246
19	Kanigel, R., <i>The One Best Way: Frederic Winslow Taylor and the Enigma of Efficiency</i> . New York: Viking, 1997.
20	Kettl, D., <i>The Transformation of Governance</i> . Baltimore, Md.: Johns Hopkins University Press, 2002.
21	Lee, E., "Political Science, Public Administration, and the Rise of the American Administrative State," <i>Public Administration Review</i> 55 (1995): 538-546
22	Lipsky, M., <i>Street-Level Bureaucracy</i> . New York: Russell Sage Foundation, 1980.
23	Lynn, L., "The Myth of the Bureaucratic Paradigm: What Traditional Public Administration Really Stood For," <i>Public Administration Review</i> 61 (2001): 144-160
24	Lynn, L., <i>Public Management as Art, Science, and Profession</i> . Chatham, N.J.: Chatham House Publishers, 1996.
25	March, J. and Olsen, J., <i>Rediscovering Institutions</i> . New York: Free Press, 1989.
26	Marini, F., ed., <i>Toward a New Public Administration: The Minnowbrook Perspective</i> . Scranton [Pa.]: Chandler, 1971.
27	Mayo, E., <i>Human Problems of An Industrial Civilization</i> . London: Routledge, 2003.
28	Miller, H., <i>Postmodern Public Policy</i> . Albany: State University of New York Press, 2002.
29	Moe, R. and Gilmour, R., "Rediscovering Principles of Public Administration: The Neglected Foundation of Public Law," <i>Public Administration Review</i> 55 (1995): 135-146
30	Moe, T., "The New Economics of Organization," <i>American Journal of Political Science</i> 28 (1984): 739-77
31	Morgan, G., <i>Images of Organization</i> . Thousand Oaks, Calif.: Sage Publications, 2006.
32	Osborne, D. and Gaebler, T., <i>Reinventing Government</i> . New York : Plume, 1992.
33	O'Toole, L., "Research on Policy Implementation: Assessment and Prospects," <i>Journal of Public Administration Research and Theory</i> 10 (2000): 263-288.
34	Ott, S., <i>The Organizational Culture Perspective</i> . Pacific Grove, Calif.: Brooks/Cole Pub., 1989.
35	Pressman, J. and Wildavsky, A., <i>Implementation</i> . Berkeley: University of California Press, 1984.
36	Provan, K. and Milward, H., "Governing the Hollow State," <i>Journal of Public</i>

	<i>Administration Research and Theory</i> 10 (2000): 359-380
37	Rainey, H., <i>Understanding and Managing Public Organizations</i> . San Francisco, CA: Jossey-Bass, 2003.
38	Ramesh, M (2004), <i>Social Policy in East and Southeast Asia: Education, Health, Housing and Income Maintenance</i> , London; New York: RoutledgeCurzon
39	Riccucci, N., "The 'Old' Public Management Versus the 'New' Public Management: Where Does Public Management Fit In?" <i>Public Administration Review</i> 61 (2001): 172-175
40	Riccucci, N., <i>Unsung Heroes</i> . Washington, D.C.: Georgetown University Press, 1995.
41	Roberts, A., "Demonstrating Neutrality: the Rockefeller Philanthropies and the Evolution of Public Administration, 1927-1936," <i>Public Administration Review</i> 54 (1994): 221-228
42	Roberts, A., <i>So-Called Experts</i> . Toronto: Institute of Public Administration of Canada, 1996
43	Rosenau, P., <i>Post-Modernism and the Social Sciences</i> . Princeton, N.J.: Princeton University Press, 1992.
44	Rosenbloom, D. and McCurdy, H., eds., <i>Revisiting Dwight Waldo's Administrative State</i> . Georgetown University Press, 2006, chapters 1-6, 11
45	Rosenbloom, D., "History Lessons for Reinventers," <i>Public Administration Review</i> 61 (2001): 161-165
46	Rosenbloom, D., "The Use of Case Studies in Public Administrative Education in the USA," <i>Journal of Management History</i> 1 (1995): 33-46
47	Savas, E., <i>Privatization and Public-private Partnerships</i> . New York: Chatham House, 2000.
48	Schachter, H., <i>Frederick Taylor and the Public Administration Community</i> . Albany: State University of New York Press, 1989.
49	Schachter, H., <i>Reinventing Government or Reinventing Ourselves</i> . Albany: State University of New York Press, 1997.
50	Schmidt, M., "You Know More than You Can Say," <i>Public Administration Review</i> 60 (2000): 266-74
51	Schroeder, L. ; O'Leary, R. ; Jones, D. and Poocharoen, O., "Routes to Scholarly Success in Public Administration: Is There a Right Path?" <i>Public Administration Review</i> 64 (2004): 92-105.
52	Seidman, H., <i>Politics, Position, and Power</i> (any edition, including those coauthored with R. Gilmour). New York : Oxford University Press, 1986.
53	Simon, H., "A Comment on 'The Science of Public Administration,'" <i>Public Administration Review</i> 7 (1947): 200-203
54	Simon, H. ; Drucker, P. and Waldo, Dwight, "'Development of Theory of Democratic Administration': Replies and Comments," <i>American Political Science Review</i> 46 (1952): 494-503
55	Simon, H., <i>Administrative Behavior</i> (any edition). New York : Free Press, 1997 (4th).
56	Slyke, D. Van, "The Mythology of Privatization in Contracting for Social Services," <i>Public Administration Review</i> 63 (2003): 296-315
57	Spicer, M., <i>Public Administration and the State: A Postmodern Perspective</i> . Tuscaloosa: University of Alabama Press, 2001.
58	Stein, H., ed., <i>Public Administration and Policy Development: A Case Book</i> . New York: Harcourt Brace, 1952. (Introduction)
59	Stivers, C., <i>Bureau Men and Settlement Women</i> . Lawrence, Kan.: University Press of Kansas, 2000.
60	Taylor, F., <i>The Principles of Scientific Management</i> . New York: Routledge, 2003.



61	Waldo, D., <i>The Administrative State</i> , 2nd ed. New York: Holmes & Meier Publishers, 1984.
62	Weber, M., "Bureaucracy," in Gerth, H. & Mills, C., eds., <i>From Max Weber</i> . London: Routledge & Kegan Paul, 1946, pp. 196-244.
63	Weber, M., <i>The Theory of Social and Economic Organization</i> . New York: Free Press, 1947, pp. 324-240.
64	White, J., <i>Taking Language Seriously: The Narrative Foundations of Public Administration Research</i> . Washington, D.C.: Georgetown University Press, 1999.
65	Wildavsky, A., <i>The New Politics of the Budgetary Process</i> . New York: Pearson/Longman, 2004.
66	Wildavsky, A., <i>The Politics of the Budgetary Process</i> (4th edition). Boston: Little, Brown, 1984
67	Wilson, J., "The Bureaucracy Problem," <i>The Public Interest</i> 6 (1967): 3-9.
68	Yanow, D., <i>Constructing "Race" and "Ethnicity" in America</i> . Armonk, N.Y.: M.E. Sharpe, 2003.